

Lecture 25

CSE 431

Intro to Theory of  
Computation

We know:



Today we prove these separations:

The method we will prove is based on diagonalization where we designed a new machine that did the opposite of the  $i$ th machine  $M_i$  on input  $\langle M_i \rangle$



We will do something along the same lines for listing all space-bound (or time-bound) TMs

The construction is similar in the two cases but easier for space.

The general idea is that a bit more space will let TM<sub>i</sub> do more, but that only works for "nice" space bounds.

## Space Hierarchy Theorem

Defn A function  $f: \mathbb{N} \rightarrow \mathbb{N}$  is space constructible if  $f(n) \geq \log_2 n$  and the map  $1^n \mapsto$  binary representation of  $f(n)$ ,  $\langle f(n) \rangle$  is computable by an  $O(f(n))$ -space TM.

The general idea of a listing <sup>of all space-bound TMs</sup> is that for a space constructible  $f(n)$  and any  $\langle M \rangle$  we can simulate  $M$  on input  $x$  using space  $O(f(|x|))$  s.t.

The simulation does what  $M$  does if

- $M$  doesn't use more than  $f(|x|)$  storage
- $M$  doesn't run for more than  $2^{f(|x|)}$  steps (which implies that  $M$  doesn't run forever)

"On input  $\langle M \rangle$  and  $x$ :

- Use space constructibility of  $f$  to compute the binary string  $\langle f(|x|) \rangle$  on the work tape  
(pretend each symbol of  $x$  is a 1)
- Mark off  $f(|x|)$  cells as a separate section of the work tape
- Create a counter  $2^{f(|x|)}$  using another  $f(|x|)$  cells.
- Simulate  $M$  on input  $x$  keeping track of the # of steps
  - subtract 1 from counter each step
  - stop simulation if it runs off the marked cells & reject
  - stop when counter reaches 0 & reject

Using this idea we prove

Theorem If  $f(n)$  is space constructible  
 Then there is language  $A$  decidable using  
 space  $O(f(n))$  but not  $o(f(n))$ .

Proof Define  $A$  as the language decided by the  
 following TM for a "diagonal language"

Almost  
final alg.

On input  $x$ :

1. Use space constructibility of  $f$   
 to compute  $\langle f(|x|) \rangle$  on the work tape
2. Mark off  $f(|x|)$  cells on the work tape
3. If  $x$  is not of the form  
 $\langle M \rangle 01^h$   
 then reject
4. Simulate  $M$  on input  $x$  counting steps  
 If more than  $2^{f(|x|)}$  steps then stop & accept  
 If more than  $f(|x|)$  cells used stop & accept
5. If  $M$  accepts then reject  
 If  $M$  rejects then accept

Here is  
our fix

Claim  $A$  is different from every language decided  
 using space  $o(f(n))$

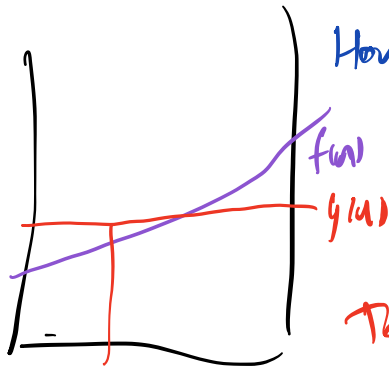
Suppose not. Then  $A = \cup (M_i)$  for some  $M_i$  that  
 uses space  $g(n) = o(f(n))$

Consider whether  $A$  includes  $\langle M_i \rangle$

If  $M_i$  runs on input  $\langle M_i \rangle$   
 using  $\leq f(|\langle M_i \rangle 01^h|)$  cells  
 and time at most  $2^{f(|\langle M_i \rangle 01^h|)}$

what  
our fix  
do

Then we get a contradiction since we flipped  
 the answer in defining  $A$ .



$\langle M_i \rangle, \langle M_i \rangle + 1, \langle M_i \rangle + 2$

However even though  $g(n)$  is  $o(f(n))$   
 $n = \langle M_i \rangle$  might be small enough that  
 $f(n) < g(n)$ , in which case there  
 wouldn't be a contradiction.

To get around this we flip an infinite #  
 of values for each  $M_i$ , and not just  
 the diagonal.

We use

$x = \langle M_i \rangle 01^k$  for all integers  $k$   
 which will allow us to tell which  
 machine is associated.

Now for any input  $x = \langle M_i \rangle 01^k$  such that  
 $k$  makes  $f(\langle M_i \rangle 01^k) \geq g(\langle M_i \rangle 01^k)$   
 is good enough  
 and we get a contradiction  $\square$

Con If  $S_1(n)$  is  $o(S_2(n))$  then  
 $SPACE(S_1(n)) \subsetneq SPACE(S_2(n))$

Note: most natural functions are space constructible  
 $n^k, \log n, n \log n$  etc

eg  $\log n$ : On input  $1^n$  count # of bits  
 onto work tape: gets  $n$  in binary  
 which takes  $\log n$  bits.

Now count # of bits in that:  $\log n$   
 in binary

Con  $NL \subseteq SPACE(\log^2 n) \subsetneq PSPACE$

## Time Hierarchy

Defn  $f(n) \gg \log n$  is time constructible

iff  $1 \rightarrow$  binary of  $f(n)$  is computable  
in time  $O(f(n))$

Thm If  $t(n)$  is time constructible there  
is a language decidable in time  
 $O(t(n))$  but not  $O(t(n)/\log t(n))$

possible  
gap.

Proof idea:

Essentially the same as the  
one for bounded space except  
that on input  $x$   
we use time constructibility  
to compute  $t(|x|)$  in binary  
and use it as a timer for  
the computation.  
(count down to 0 subtracting  
1 per step)  
reject if it exceeds the time

Unlike with space complexity we have  
to count # of steps to update  
the timer.

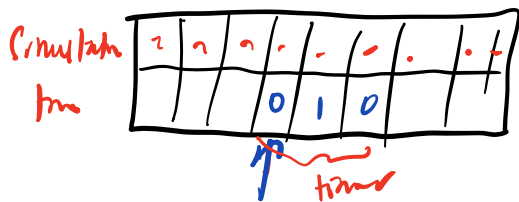
The timer takes  $\log t(|x|)$  bits  
to represent & update

In the course we used multitape TM for  
thm. The book used 2-tape TM  
The proof is different in the two cases.

Multitape TM version : We need a fixed # of tapes for the machine defining  $A$  but the other  $TM_i$   $M_i$  might use more tapes:

We use simulation of  $k$ -tape TM by 2-tape TM  
 $t(n)$  steps become  $O(t(n) \log t(n))$  steps & it keeps track of a counter

1-tape version: Maintain the counter like a pocket watch that is carried by the TM near the read head:



(think of it as on a separate track of the tape)

shift the timer left or right at each time step.  
 $O(\log t(n))$  steps per original step.

If  $t'(n)$  is  $O(t(n) \log t(n))$  then both of these can be done in  $\leq t(n)$  steps

Can we use these <sup>kind of</sup> diagonalization arguments to prove  $P \neq NP$ ?



Q: why wouldn't SAT work?

$NP^{SAT}$  can decide formulas of the form:

$$\exists x_1 \dots \exists x_n \forall y_1 \dots \forall y_m \varphi(x_1 \dots x_n, y_1 \dots y_m)$$

Here's how: NP machine guesses  $x_1 \dots x_n = b_1 \dots b_n$   
then calls SAT oracle on

$$\neg \varphi(b_1 \dots b_n, y_1 \dots y_m)$$

(if this is not SAT then

$$\forall y_1 \dots \forall y_m \varphi(b_1 \dots b_n, y_1 \dots y_m)$$

is true

so the whole formula would be true)

$$\therefore \Sigma_2^P \subseteq NP^{SAT}$$

$$\text{However } PNP \subseteq \Sigma_2^P \cap \Pi_2^P$$

we don't know if  $\Sigma_2^P = \Pi_2^P$  (researchers guess not)

so it is possible that  $\Sigma_2^P \cap \Pi_2^P \neq \Sigma_2^P$

in which case  $P^{SAT} \neq NP^{SAT}$ .